

**PENSION PLAN ANNUITY PURCHASE  
ACKNOWLEDGEMENT FORM**

**American General Life Insurance Company**

Mailing Address: Annuity Service Center • P.O. Box 2708 • Amarillo, TX 79105-2708

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The annuity contract applied for is being purchased as an funding vehicle for a Retirement Plan established under Internal Revenue Code Sec. 401(a), including a Profit Sharing Plan, Money Purchase Plan or Pension Plan providing retirement benefits for employees of various types of employers.

**The Purchaser of the proposed annuity acknowledges that:**

- 1) The Owner of the contract is either a trustee or plan sponsor of the Retirement Plan.
- 2) The proposed annuity contract is only a funding vehicle for the Retirement Plan and is not a plan document or a trust agreement.
- 3) There will be a commission paid to an agent and an agency for the sale of this annuity contract.  
The commission paid to the agent(s) and respective agency for the sale of this annuity contract will be equal to \_\_\_\_\_% of the premium paid. This is a one-time commission payment with no further commissions due.
- 4) Withdrawals from a deferred annuity contract may be subject to a withdrawal charge. You acknowledge that you have read the Owner's Acknowledgement and understand the withdrawal provisions of the proposed contract. (Note: Withdrawals are not available from single premium immediate annuities or deferred income annuities.)
- 5) The Plan Sponsor and/or the Retirement Plan Administrator assumes all responsibility for maintaining Retirement Plan compliance, including the tax and legal aspects of the following:
  - a) All Retirement Plan administration including, but not limited to, determination of availability of distributions, Plan document maintenance and amendment, record keeping, drafting and delivery of participant notices and other required information.
  - b) All compliance with the reporting, disclosure and fiduciary obligations imposed on such retirement plans by the Internal Revenue Code and the Employee Retirement Income Security Act (ERISA), as amended.
- 6) American General Life Insurance Company (AGL) is functioning only as an annuity product provider and is not a plan or pension administrator. AGL is only responsible for its contractual obligations under the terms of the annuity contract. AGL is not a plan fiduciary under ERISA, and will not perform any duties of a plan sponsor, pension administrator or other fiduciary under ERISA.
- 7) AGL will provide the Owner of a deferred annuity contract with one annual statement on each contract anniversary. (Note: Not applicable to single premium immediate annuity or deferred income annuities.) No additional reports will be required of AGL and AGL will not prepare or file form 5500.
- 8) AGL will provide the Owner with a form 1099R if distributions were made from the contract during the calendar year.
- 9) The Owner acknowledges that the Owner has relied on its own tax and legal counsel in establishing and administering its Retirement Plan and in making the decision to purchase the annuity contract.

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**Owner Signature:**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Name, Title

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Name, Title

**Witness Signature:**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Name

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Name

**Agent Signature:**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Name

**RETURN COMPLETE FORM TO ADDRESS ABOVE OR FAX IT TO (713) 620-3829.**